



REGISTRATION CATEGORY DEFINITIONS

ASF Member: Persons who are directly employed, full-time by an ASF member firm in good standing. Consultants, contractors, vendors and other third parties providing goods or services to an ASF member firm do not qualify. Please click [here](#) to see if your firm is an ASF member before registering.

ASF Nonmember: Persons not directly employed by an ASF member firm and who do not qualify under any other registration category. Please click [here](#) to see if your firm is an ASF member before registering.

Investor: Qualified investors are individuals directly employed by buy-side firms investing in securitized products whose principal professional responsibilities include investment analysis, investment decision-making and/or portfolio management functions. Qualified investors must be directly involved in their firm's investment in securitized products.

Registration as an investor is subject to approval. Consultants, outside counsel, financial advisors, servicers, broker/dealers and any other third party service providers who are not directly employed by a buy-side firm investing in securitized products DO NOT qualify as investors for registration purposes. To qualify, your firm must have invested in securitized products between January 1, 2009 and the date of your conference registration.

To qualify for the ASF member investor rate, you must be directly employed, full-time, by an ASF member firm in good standing. Consultants, contractors, vendors and other third parties providing goods or services to an ASF member firm do not qualify. Please click [here](#) to see if your firm is a member before registering.

Issuer: Qualified issuers are individuals who are primarily, directly and personally involved in his/her firm's issuance activities. The firm must directly issue securitized products and individuals must be directly employed by the issuing firm. **Registration as an issuer is subject to approval.** Consultants, outside counsel, financial advisors, servicers, broker/dealers and any other third party service providers not directly employed by an issuing firm DO NOT qualify as issuers for registration purposes. To qualify, your firm must have issued securitized products between January 1, 2009 and the date of your conference registration.

To qualify for the ASF member issuer rate, you must be directly employed, full-time, by an ASF member firm in good standing. Consultants, contractors, vendors and other third parties providing goods or services to an ASF member firm do not qualify. Please click [here](#) to see if your firm is a member before registering.

Employee of a Supporting Organization: Staff Passes may be used only by employees of the supporting organization (as defined below), and are non-transferable outside of that organization.

Employees of a Supporting Organization include:

- Persons directly employed by the legal entity that executed the supporter application for the related event with ASF (the “Supporting Organization”).
- Persons directly employed by affiliates of Supporting Organizations (whether those affiliates are separate legal entities or separate business units of the Supporting Organization), but only to the extent that such affiliates would be identified as belonging to the same ASF membership category as the Supporting Organization (e.g., issuer, financial intermediary, supplier, trustee), whether or not the Supporting Organization or affiliate is, in fact, an ASF member.

Employees of a Supporting Organization do NOT include:

- Consultants, contractors, vendors and other third parties providing goods or services to a Supporting Organization, and anyone else who is not directly employed by the Supporting Organization.
- Employees of affiliates of Supporting Organizations that would be identified as belonging to a different membership category as the Supporting Organization, whether or not Supporting Organization or affiliate is, in fact, an ASF member.
- Clients of a Supporting Organization.

Government Employee: Persons directly employed by a government agency in a legislative, regulatory, policy-making or implementation capacity. This includes positions with authority for enforcement or oversight of financial firms, as well as the staff of U.S. and state legislators and wholly-owned government corporations.

If you have any questions regarding registration please contact Jennifer Greenidge at 212.412.7112 or jgreenidge@americansecuritization.com .

*** Please note that all registration categories are subject to ASF approval and your registration category must be valid on February 6, 2011. For example, if an individual registers as an ASF member, that individual's firm must be an ASF member firm in good standing at the time of the conference or the person will be required to pay the nonmember registration fee.**